Weak and generalized Weyl form of the commutation relation for unbounded operators

Camillo Trapani

Dipartimento di Matematica e Informatica, Università di Palermo, Italy

(Joint work with F.Bagarello and A.Inoue)

PHHQP11, Paris, 2012

Motivations

< □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □

The commutator algebra of operators representing observables plays an important role in $\mathsf{Q}\mathsf{M}$

The commutator algebra of operators representing observables plays an important role in $\mathsf{Q}\mathsf{M}$

These operators are usually unbounded. This fact poses several problems for a correct mathematical formulation of these notions.

The commutator algebra of operators representing observables plays an important role in $\mathsf{Q}\mathsf{M}$

These operators are usually unbounded. This fact poses several problems for a correct mathematical formulation of these notions.

Let A, B be unbounded operators in Hilbert sp.

- AB = BA can be meaningless
- . strong commutation for selfadjoint operators
- . Nelson's example
- . Weak commutation
- . Commutators [A, B] := AB BA can be meaningless too
- . Weyl commutation relations
- . Weak form of commutators

Many possible cases:

- A, B bounded operators in \mathcal{H} : clear
 - A, B self-adjoint unbounded : strong commutation
 - Commutation of spectral families $\{E_A(\lambda)\}, \{E_B(\mu)\}, \lambda, \mu \in \mathbb{R}$
 - Commutation of the unitary groups $U_A(t) := e^{iAt}$, $U_B(s) := e^{iBs}$ • Commutation of resolvent functions $(A - \lambda I)^{-1} \smile (B - \mu I)^{-1}$,
 - Commutation of resolvent functions $(A \lambda I)^{-1} \smile (B \mu I)^{-1}$, $\lambda \in \rho(A), \mu \in \rho(B)$.

Many possible cases:

- A, B bounded operators in \mathcal{H} : clear
 - A, B self-adjoint unbounded : strong commutation
 - Commutation of spectral families $\{E_A(\lambda)\}, \{E_B(\mu)\}, \lambda, \mu \in \mathbb{R}$
 - Commutation of the unitary groups $U_A(t) := e^{iAt}$, $U_B(s) := e^{iBs}$ • Commutation of resolvent functions $(A - \lambda I)^{-1} \smile (B - \mu I)^{-1}$,
 - Commutation of resolvent functions $(A \lambda I)^{-1} \smile (B \mu I)^{-1}$, $\lambda \in \rho(A), \mu \in \rho(B)$.
 - $A, B \in \mathcal{L}^{\dagger}(\mathcal{D})$

$$\mathcal{L}^{\dagger}(\mathcal{D}) := \{ \text{closable } A : A\mathcal{D} \subseteq \mathcal{D}; A^*\mathcal{D} \subseteq \mathcal{D} \}$$

(ロ) (部) (E) (E) (E) (000)

3/25

*-algebra $\Rightarrow AB = BA$ well defined.

Many possible cases:

- A, B bounded operators in \mathcal{H} : clear
 - A, B self-adjoint unbounded : strong commutation
 - Commutation of spectral families $\{E_A(\lambda)\}, \{E_B(\mu)\}, \lambda, \mu \in \mathbb{R}$
 - Commutation of the unitary groups $U_A(t) := e^{iAt}$, $U_B(s) := e^{iBs}$
 - Commutation of resolvent functions $(A \lambda I)^{-1} \smile (B \mu I)^{-1}$, $\lambda \in \rho(A), \mu \in \rho(B)$.
 - $A, B \in \mathcal{L}^{\dagger}(\mathcal{D})$

$$\mathcal{L}^{\dagger}(\mathcal{D}) := \{ \text{closable } A : A\mathcal{D} \subseteq \mathcal{D}; A^*\mathcal{D} \subseteq \mathcal{D} \}$$

*-algebra $\Rightarrow AB = BA$ well defined.

But Nelson's example $A, B \in \mathcal{L}^{\dagger}(\mathcal{D})$ A, B essentially selfadjoint (closures are selfadjoint) $AB\xi = BA\xi$ for every $\xi \in \mathcal{D}$ but spectral families do not commute!

<ロ><合><さま、

In hermitian QM strong commutation is a natural concept

• Probabilistic interpretation of the spectral measure: $E_A(\cdot)$ spectral family of A $Prob\{(A, \psi) \in \Delta\} = \int_{\Delta} d\langle E_A(\lambda)\psi|\psi\rangle$ In hermitian QM strong commutation is a natural concept

- Probabilistic interpretation of the spectral measure: $E_A(\cdot)$ spectral family of A $Prob\{(A, \psi) \in \Delta\} = \int_{\Delta} d\langle E_A(\lambda)\psi | \psi \rangle$
- Existence of joint probability distribution:

If A, B commute strongly $\Rightarrow E_A(\cdot)E_B(\cdot)$ spectral measure on the plane $E_A(\cdot)E_B(\cdot)$ gives the joint probability distribution (A and B can be measured simultaneously).

(ロ) (部) (目) (日) (日) (の)

4 / 25

In nonhermitian QM ???

$$A, B \in \mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H}) = \{ \text{closable } A : D(A) = \mathcal{D}, D(A^*) \supset \mathcal{D} \}$$
$$A^{\dagger} := A^* \upharpoonright \mathcal{D}.$$

$$A, B \in \mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H}) = \{ \text{closable } A : D(A) = \mathcal{D}, D(A^*) \supset \mathcal{D} \}$$

 $A^{\dagger} := A^* \upharpoonright \mathcal{D}.$

Partial *-algebra w. r. to weak product \Box : [Antoine, Karwowski] $A \Box B$ exists iff $\begin{cases} B : \mathcal{D} \to D(A^{\dagger *}) \\ A^{\dagger} : \mathcal{D} \to D(B^{*}) \end{cases}$ and $(A \Box B)\xi = A^{\dagger *}B\xi, \quad \forall \xi \in \mathcal{D}.$ $A, B \in \mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H}) = \{ \text{closable } A : D(A) = \mathcal{D}, D(A^*) \supset \mathcal{D} \}$ $A^{\dagger} := A^* \upharpoonright \mathcal{D}.$

Partial *-algebra w. r. to weak product \Box : [Antoine, Karwowski] $A \Box B$ exists iff $\begin{cases} B : \mathcal{D} \to D(A^{\dagger *}) \\ A^{\dagger} : \mathcal{D} \to D(B^{*}) \end{cases}$ and $(A \Box B)\xi = A^{\dagger *}B\xi, \quad \forall \xi \in \mathcal{D}.$

 $A \square B = B \square A$: OK algebraically, same problems as before. Moreover technically complicated. $A, B \in \mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H}) = \{ \text{closable } A : D(A) = \mathcal{D}, D(A^*) \supset \mathcal{D} \}$ $A^{\dagger} := A^* \upharpoonright \mathcal{D}.$

Partial *-algebra w. r. to weak product \Box : [Antoine, Karwowski] $A \Box B$ exists iff $\begin{cases} B : \mathcal{D} \to D(A^{\dagger *}) \\ A^{\dagger} : \mathcal{D} \to D(B^{*}) \end{cases}$ and $(A \Box B)\xi = A^{\dagger *}B\xi, \quad \forall \xi \in \mathcal{D}.$

 $A \square B = B \square A$: OK algebraically, same problems as before. Moreover technically complicated.

WEAK COMMUTATION: easier to handle

$$\langle B\xi | A^{\dagger}\eta \rangle = \langle A\xi | B^{\dagger}\eta \rangle, \quad \forall \xi, \eta \in \mathcal{D}$$

<ロ> <回> <回> < E> < E> = の

 $A, B \in \mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H}) = \{ \text{closable } A : D(A) = \mathcal{D}, D(A^*) \supset \mathcal{D} \}$ $A^{\dagger} := A^* \upharpoonright \mathcal{D}.$

Partial *-algebra w. r. to weak product \Box : [Antoine, Karwowski] $A \Box B$ exists iff $\begin{cases} B : \mathcal{D} \to D(A^{\dagger *}) \\ A^{\dagger} : \mathcal{D} \to D(B^{*}) \end{cases}$ and $(A \Box B)\xi = A^{\dagger *}B\xi, \quad \forall \xi \in \mathcal{D}.$

 $A \square B = B \square A$: OK algebraically, same problems as before. Moreover technically complicated.

WEAK COMMUTATION: easier to handle

$$\langle B\xi | A^{\dagger}\eta \rangle = \langle A\xi | B^{\dagger}\eta \rangle, \quad \forall \xi, \eta \in \mathcal{D}$$

See J.-P. Antoine, A. Inoue, C. Trapani, Partial *-algebras and their operator realizations, Kluwer 2002.

In $\mathcal{B}(\mathcal{H})$, [A, B] = AB - BA well-defined bounded operator. The map $(A, B) \rightarrow [A, B]$ makes of B(H) a Banach Lie algebra: everything works fine therein! In $\mathcal{B}(\mathcal{H})$, [A, B] = AB - BA well-defined bounded operator.

The map $(A, B) \rightarrow [A, B]$ makes of B(H) a Banach Lie algebra: everything works fine therein!

But representations of Lie algebras involve, in general unbounded operators!

In $\mathcal{B}(\mathcal{H})$, [A, B] = AB - BA well-defined bounded operator.

The map $(A, B) \rightarrow [A, B]$ makes of B(H) a Banach Lie algebra: everything works fine therein!

But representations of Lie algebras involve, in general unbounded operators!

In $\mathcal{L}^{\dagger}(\mathcal{D})$, [A, B] is well-defined, but ...

regularity, i.e. integrability is not guaranteed (Schmüdgen).

In $\mathcal{B}(\mathcal{H})$, [A, B] = AB - BA well-defined bounded operator.

The map $(A, B) \rightarrow [A, B]$ makes of B(H) a Banach Lie algebra: everything works fine therein!

But representations of Lie algebras involve, in general unbounded operators!

In $\mathcal{L}^{\dagger}(\mathcal{D})$, [A, B] is well-defined, but ...

regularity, i.e. integrability is not guaranteed (Schmüdgen). Even worse in $\mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H})$! Heisenberg Lie Algebra \mathfrak{h} : generated by three elements $a, b, c \in \mathfrak{h}$ whose Lie brackets are defined by

$$[a, b] = c$$
 $[a, c] = [b, c] = 0$

A representation of \mathfrak{h} linear map $\pi: \mathfrak{h} \to$ operator space such that

 $[\pi(a),\pi(b)]=\pi(c)=:1\!\!1$ identity operator .

Theorem

(Wiener, Wielandt, von Neumann) There exists no bounded representation of the Heisenberg algebra.

Domain: $\mathcal{S}(\mathbb{R}) \subset L^2(\mathbb{R})$. Define operators (annihilation , creation)

$$Af = rac{1}{\sqrt{2}}(xf + D_x f) \quad ext{ and } A^\dagger f = rac{1}{\sqrt{2}}(xf - D_x f)$$

Then $AA^{\dagger}f - A^{\dagger}Af = f$, $\forall f \in \mathcal{S}(\mathbb{R})$

 $\sigma(A^{\dagger}A) = \mathbb{N}$, number operator

Domain: $S(\mathbb{R}) \subset L^2(\mathbb{R})$. Define operators (annihilation, creation)

$$Af = rac{1}{\sqrt{2}}(xf + D_x f) \quad ext{ and } A^\dagger f = rac{1}{\sqrt{2}}(xf - D_x f)$$

Then $AA^{\dagger}f - A^{\dagger}Af = f$, $\forall f \in \mathcal{S}(\mathbb{R})$

 $\sigma(A^{\dagger}A) = \mathbb{N}$, number operator

Theorem

(Stone, von Neumann) Any integrable representation π of the Heisenberg Lie algebra is unitarily equivalent to the Schrödinger repr.

Integrability: \exists connected and simply connected Lie group G and a unitary representation U of G such that $\pi = dU$.

Problem

Study of operators A, B such that $B \neq A^{\dagger}$ and [A, B] = 1 in some sense (Bagarello, Inoue, CT 2011, 2012)

- Bagarello's pseudo-bosons
- nonintegrable repr. of CCR.

A, B closed operators, dense domains D(A) and D(B) in \mathcal{H} .

To give a meaning to AB - BA = 1 we suppose \exists a dense subspace \mathcal{D} of \mathcal{H} such that (D.1) $\mathcal{D} \subset D(AB) \cap D(BA)$ $[D(AB) = \{\xi \in D(B) : B\xi \in D(A)\}].$ (D.2) $AB\xi - BA\xi = \xi$, $\forall \xi \in \mathcal{D}$. (D.3) $\mathcal{D} \subset D(A^*) \cap D(B^*)$. Then $S := A \upharpoonright D$ and $T := B \upharpoonright D$ belong to (partial *-algebra) $\mathcal{L}^{\dagger}(D, \mathcal{H})$ and satisfy

 $\langle T\xi | S^{\dagger}\eta \rangle - \langle S\xi | T^{\dagger}\eta \rangle = \langle \xi | \eta \rangle, \quad \forall \xi, \eta \in \mathcal{D}.$

The study of this commutation relation is our main matter.

Particular cases: S and/or T are generators of some weakly continuous semigroup V(t) of bdd operators.

Then $S := A \upharpoonright D$ and $T := B \upharpoonright D$ belong to (partial *-algebra) $\mathcal{L}^{\dagger}(D, \mathcal{H})$ and satisfy

$$\langle T\xi|S^{\dagger}\eta
angle - \langle S\xi|T^{\dagger}\eta
angle = \langle \xi|\eta
angle, \quad orall \xi,\eta\in\mathcal{D}.$$

The study of this commutation relation is our main matter.

Particular cases: S and/or T are generators of some weakly continuous semigroup V(t) of bdd operators.

 $X_0 \in \mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H})$ is the \mathcal{D} -generator of V(t) if

$$\lim_{t\to 0} \langle \frac{V(t)-1}{t}\xi |\eta\rangle = \langle X_0\xi |\eta\rangle, \ \forall \xi, \eta \in \mathcal{D}.$$

10 / 25

Let $S, T \in \mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H})$. We say that the c. r. $[S, T] = 1\!\!1_{\mathcal{D}}$ holds

Let $S, T \in \mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H})$. We say that the c. r. $[S, T] = \mathbb{1}_{\mathcal{D}}$ holds (CR.1) in $\mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H})$ if, $S \square T$ well-defined $\Rightarrow T \square S$ well-defined too and $S \square T - T \square S = \mathbb{1}_{\mathcal{D}}$;

Let $S, T \in \mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H})$. We say that the c. r. $[S, T] = \mathbb{1}_{\mathcal{D}}$ holds (CR.1) in $\mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H})$ if, $S \square T$ well-defined $\Rightarrow T \square S$ well-defined too and $S \square T - T \square S = \mathbb{1}_{\mathcal{D}}$;

(CR.2) in weak sense if

 $\langle T\xi | S^{\dagger}\eta \rangle - \langle S\xi | T^{\dagger}\eta \rangle = \langle \xi | \eta \rangle, \quad \forall \xi, \eta \in \mathcal{D};$

Let $S, T \in \mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H})$. We say that the c. r. $[S, T] = \mathbb{1}_{\mathcal{D}}$ holds (CR.1) in $\mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H})$ if, $S \square T$ well-defined $\Rightarrow T \square S$ well-defined too and $S \square T - T \square S = \mathbb{1}_{\mathcal{D}}$;

(CR.2) in weak sense if

$$\langle T\xi|S^{\dagger}\eta\rangle - \langle S\xi|T^{\dagger}\eta\rangle = \langle \xi|\eta\rangle, \quad \forall \xi, \eta \in \mathcal{D};$$

(CR.3) in quasi-strong sense if S is the D-generator of a w-continuous semigr. of bdd operators $V_{S}(\alpha)$ and

 $\langle V_{\mathcal{S}}(\alpha)T\xi|\eta
angle - \langle V_{\mathcal{S}}(\alpha)\xi|T^{\dagger}\eta
angle = \alpha\langle V_{\mathcal{S}}(\alpha)\xi|\eta
angle, \quad \forall \xi, \eta \in \mathcal{D}, \forall \alpha \ge 0;$

Let $S, T \in \mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H})$. We say that the c. r. $[S, T] = \mathbb{1}_{\mathcal{D}}$ holds (CR.1) in $\mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H})$ if, $S \square T$ well-defined $\Rightarrow T \square S$ well-defined too and $S \square T - T \square S = \mathbb{1}_{\mathcal{D}}$;

(CR.2) in weak sense if

$$\langle T\xi|S^{\dagger}\eta\rangle - \langle S\xi|T^{\dagger}\eta\rangle = \langle \xi|\eta\rangle, \quad \forall \xi, \eta \in \mathcal{D};$$

(CR.3) in quasi-strong sense if S is the D-generator of a w-continuous semigr. of bdd operators $V_S(\alpha)$ and

$$\langle V_{\mathcal{S}}(lpha) T \xi | \eta
angle - \langle V_{\mathcal{S}}(lpha) \xi | T^{\dagger} \eta
angle = lpha \langle V_{\mathcal{S}}(lpha) \xi | \eta
angle, \quad orall \xi, \eta \in \mathcal{D}, orall lpha \geqslant 0;$$

(CR.4) in strong sense if S and T are D-generators of w-continuous semigr. of bdd operators $V_S(\alpha)$, $V_T(\beta)$ satisfying the generalized Weyl c.r.

$$V_{\mathcal{S}}(\alpha)V_{\mathcal{T}}(\beta) = e^{\alpha\beta}V_{\mathcal{T}}(\beta)V_{\mathcal{S}}(\alpha), \quad \forall \alpha, \beta \ge 0.$$

$(CR.4) \Rightarrow (CR.3) \Rightarrow (CR.2) \Rightarrow (CR.1).$

$$(CR.4) \Rightarrow (CR.3) \Rightarrow (CR.2) \Rightarrow (CR.1).$$

Implications in the other direction: FALSE:

 $(\mathrm{CR.4}) \Rightarrow (\mathrm{CR.3}) \Rightarrow (\mathrm{CR.2}) \Rightarrow (\mathrm{CR.1}).$

Implications in the other direction: FALSE:

Example

 \exists two essentially selfadjoint operators P, Q with common invariant dense domain \mathcal{D} such that $PQ\xi - QP\xi = -i\xi$, for $\xi \in \mathcal{D}$, but the unitary groups $U_P(t), U_Q(s)$ generated by $\overline{P}, \overline{Q}$ do not satisfy the Weyl commutation relation $U_P(t)U_Q(s) = e^{its}U_Q(s)U_P(t), s, t \in \mathbb{R}$. (Fulgede, Schmüdgen).

Existence of eigenvectors

Parallel to the case $[A, A^{\dagger}] = \mathbb{1}$ when a vacuum ξ_0 exists: $A\xi_0 = 0$ $S, T \in \mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H})$, satisfy $[S, T] = \mathbb{1}_{\mathcal{D}}$ in weak sense.

Existence of eigenvectors

Parallel to the case $[A, A^{\dagger}] = 1$ when a vacuum ξ_0 exists: $A\xi_0 = 0$

13/25

 $S, T \in \mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H})$, satisfy $[S, T] = \mathbb{1}_{\mathcal{D}}$ in weak sense. Assume:

- \exists a vector $0 \neq \xi_0 \in \mathcal{D}$ such that $S\xi_0 = 0$.
- $T^k \xi_0 \in \mathcal{D}, \ k \leqslant n$
Existence of eigenvectors

Parallel to the case $[A, A^{\dagger}] = 1$ when a vacuum ξ_0 exists: $A\xi_0 = 0$

 $S, T \in \mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H})$, satisfy $[S, T] = \mathbb{1}_{\mathcal{D}}$ in weak sense. Assume:

- \exists a vector $0 \neq \xi_0 \in \mathcal{D}$ such that $S\xi_0 = 0$.
- $T^k \xi_0 \in \mathcal{D}, \ k \leq n$

Consider the operators

$$N := TS^{\dagger *}, \qquad N^{\sharp} : S^{\dagger *} T.$$

N acts as number operator on a subspace \mathcal{N}_0 of \mathcal{H} .

Existence of eigenvectors

Parallel to the case $[A, A^{\dagger}] = 1$ when a vacuum ξ_0 exists: $A\xi_0 = 0$

 $S, T \in \mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H})$, satisfy $[S, T] = \mathbb{1}_{\mathcal{D}}$ in weak sense. Assume:

- \exists a vector $0 \neq \xi_0 \in \mathcal{D}$ such that $S\xi_0 = 0$.
- $T^k \xi_0 \in \mathcal{D}, \ k \leq n$

Consider the operators

$$N := TS^{\dagger *}, \qquad N^{\sharp} : S^{\dagger *} T.$$

N acts as number operator on a subspace \mathcal{N}_0 of \mathcal{H} .

(i) $T^n \xi_0$ is an eigenvector of $N = TS^{\dagger *}$ with eigenvalue *n*;

Existence of eigenvectors

Parallel to the case $[A, A^{\dagger}] = 1$ when a vacuum ξ_0 exists: $A\xi_0 = 0$

 $S, T \in \mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H})$, satisfy $[S, T] = \mathbb{1}_{\mathcal{D}}$ in weak sense. Assume:

- \exists a vector $0 \neq \xi_0 \in \mathcal{D}$ such that $S\xi_0 = 0$.
- $T^k \xi_0 \in \mathcal{D}, \ k \leq n$

Consider the operators

$$N := TS^{\dagger *}, \qquad N^{\sharp} : S^{\dagger *} T.$$

N acts as number operator on a subspace \mathcal{N}_0 of \mathcal{H} .

(i) $T^n \xi_0$ is an eigenvector of $N = TS^{\dagger *}$ with eigenvalue *n*;

(ii) $T^{n-1}\xi_0$ is eigenvector of $N^{\sharp} = S^{\dagger *}T$ with eigenvalue *n*.

◆□ → ◆□ → ◆ ■ → ◆ ■ → ● ● ● ○ へ ペ
14/25

• The largest *n* for which $T^n \xi_0 \in \mathcal{D}$ may be finite or infinite. $\mathcal{N}_0 := \text{lspan}\{\xi_0, T\xi_0, \dots T^n \xi_0\}.$ $N := TS^{\dagger *}$ leaves \mathcal{N}_0 invariant.

◆□ > ◆□ > ◆三 > ◆三 > ・ 三 ・ のへで

14/25

- The largest *n* for which $T^n \xi_0 \in \mathcal{D}$ may be finite or infinite. $\mathcal{N}_0 := \text{lspan}\{\xi_0, T\xi_0, \dots T^n\xi_0\}.$ $\mathcal{N} := TS^{\dagger*}$ leaves \mathcal{N}_0 invariant.
- point spectrum: $\sigma_p(N_0) = \{0, 1, \dots, n\}$ in \mathcal{N}_0 , $n \in \mathbb{N} \cup \{\infty\} =$ largest natural number s.t. $T\xi_0, T^2\xi_0, \dots T^{n-1}\xi_0$ all belong to \mathcal{D} . Each eigenvalue is simple (in \mathcal{N}_0).

- The largest *n* for which $T^n \xi_0 \in \mathcal{D}$ may be finite or infinite. $\mathcal{N}_0 := \text{lspan}\{\xi_0, T\xi_0, \dots, T^n\xi_0\}.$ $N := TS^{\dagger*}$ leaves \mathcal{N}_0 invariant.
- point spectrum: $\sigma_p(N_0) = \{0, 1, \dots, n\}$ in \mathcal{N}_0 , $n \in \mathbb{N} \cup \{\infty\} =$ largest natural number s.t. $T\xi_0, T^2\xi_0, \dots T^{n-1}\xi_0$ all belong to \mathcal{D} . Each eigenvalue is simple (in \mathcal{N}_0).

・ロン ・四 と ・ ヨ と ・ ヨ ・

14 / 25

•
$$ST^k\xi - (T^{\dagger *})^kS\xi = kT^{k-1}\xi, \quad k \leq n.$$

Hilbert space $L^2(\mathbb{R}, wdx)$; the weight $w \in C^1(\mathbb{R})$, w > 0, s.t.

- $\lim_{|x|\to+\infty} w(x) = 0;$
- $\int_{\mathbb{R}} w(x) dx < \infty$.

Hilbert space $L^2(\mathbb{R}, wdx)$; the weight $w \in C^1(\mathbb{R})$, w > 0, s.t.

- $\lim_{|x|\to+\infty} w(x) = 0;$
- $\int_{\mathbb{R}} w(x) dx < \infty$.

$$D(\mathbf{p}) = \left\{ f \in L^2(\mathbb{R}, wdx) : \exists g \in L^2(\mathbb{R}, wdx), f(x) = \int_{-\infty}^x g(t)dt \right\}.$$

Shortly, f'(x) := g(x), for $f \in D(p)$.

Hilbert space $L^2(\mathbb{R}, wdx)$; the weight $w \in C^1(\mathbb{R})$, w > 0, s.t.

- $\lim_{|x|\to+\infty} w(x) = 0;$
- $\int_{\mathbb{R}} w(x) dx < \infty$.

$$D(\mathbf{p}) = \left\{ f \in L^2(\mathbb{R}, wdx) : \exists g \in L^2(\mathbb{R}, wdx), f(x) = \int_{-\infty}^x g(t)dt \right\}.$$

Shortly, f'(x) := g(x), for $f \in D(p)$.

$$D(q) = \{ f \in L^2(\mathbb{R}, wdx) : xf(x) \in L^2(\mathbb{R}, wdx) \}.$$

Hilbert space $L^2(\mathbb{R}, wdx)$; the weight $w \in C^1(\mathbb{R})$, w > 0, s.t.

- $\lim_{|x|\to+\infty} w(x) = 0;$
- $\int_{\mathbb{R}} w(x) dx < \infty$.

$$D(\mathbf{p}) = \left\{ f \in L^2(\mathbb{R}, wdx) : \exists g \in L^2(\mathbb{R}, wdx), f(x) = \int_{-\infty}^x g(t)dt \right\}.$$

Shortly, $f'(x) := g(x)$, for $f \in D(\mathbf{p})$.
$$D(\mathbf{q}) = \{ f \in L^2(\mathbb{R}, wdx) : xf(x) \in L^2(\mathbb{R}, wdx) \}.$$

 $\mathcal{D} := D(q) \cap D(p)$. Define

$$(Sf)(x) = f'(x),$$
 $(Tf)(x) = xf(x),$ $f \in \mathcal{D}$

Both map \mathcal{D} into $L^2(\mathbb{R}, wdx)$. T is symmetric in \mathcal{D} . Formally

$$(S^*g)(x) = -g'(x) - g(x)\frac{w'(x)}{w(x)}.$$

If $w'/w \in L^{\infty}(\mathbb{R})$, $S \in \mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H})$ and $[S, \mathcal{T}] = \mathbb{1}_{\mathcal{D}}$ (weak sense).

Make some particular choices for w

$$\begin{array}{c} w(x) = w_{\alpha}(x) = (1 + x^{4})^{-\alpha}, \ \alpha > \frac{3}{4} \end{array} \ u_{0}(x) = 1, \ \text{is in } L^{2}(\mathbb{R}, w_{\alpha}dx) \ \text{for} \\ \\ \alpha > \frac{3}{4}. \ \text{It satisfies } Su_{0} = 0 \\ \\ \text{The largest } n \ \text{for which } T^{n}u_{0} \ \text{belongs to } \mathcal{D} \ \text{satisfies} \\ \\ n < 2\alpha - \frac{3}{2}. \ \Rightarrow \dim \mathcal{N}_{0} \ \text{is } \left[2\alpha - \frac{3}{2}\right] + 1. \end{array}$$

・ロト・(中下・(中下・(日下・(日下)))

16 / 25

$$\begin{split} w(x) &= w_{\alpha}(x) = (1 + x^{4})^{-\alpha}, \ \alpha > \frac{3}{4} \quad u_{0}(x) = 1, \text{ is in } L^{2}(\mathbb{R}, w_{\alpha}dx) \text{ for} \\ \alpha > \frac{3}{4}. \text{ It satisfies } Su_{0} = 0 \\ \text{The largest } n \text{ for which } T^{n}u_{0} \text{ belongs to } \mathcal{D} \text{ satisfies} \\ n < 2\alpha - \frac{3}{2}. \Rightarrow \dim \mathcal{N}_{0} \text{ is } \left[2\alpha - \frac{3}{2}\right] + 1. \end{split}$$

$$\begin{split} w(x) &= e^{-x^{2}/2} \quad \mathcal{D} = \text{ all polynomials in } x. \\ \text{The functions } u_{k}(x) = x^{k}, \ k = 1, 2, \dots, \text{ belong to } \mathcal{D} \text{ and } TS^{\dagger *}u_{k} = ku_{k} \text{ for every } k \in \mathbb{N}. \\ \text{The subspace } \mathcal{N}_{0} \text{ coincides in this case with } \mathcal{D}. \\ \text{Every complex number } \lambda \text{ with } \Re\lambda > -\frac{1}{2} \text{ is an eigenvalue} \\ \text{of } N = TS^{\dagger *}; \text{ but the corresponding eigenvector is in } \mathcal{D} \text{ if } \\ \text{and only if } \Re\lambda \text{ is a natural number.} \end{split}$$

The subspace \mathcal{N}_0 spanned by $\{T^k\xi_0, k\in\mathbb{N}\}$ can be finite dimensional.

The subspace \mathcal{N}_0 spanned by $\{T^k\xi_0, k \in \mathbb{N}\}$ can be finite dimensional. Thus $\mathcal{N} := (TS^{\dagger *})_0$ is a bounded symmetric operator on $\mathcal{N}_0 \cong \mathbb{C}^n$, having the numbers $0, 1, \ldots, n$ as eigenvalues. The subspace \mathcal{N}_0 spanned by $\{T^k\xi_0, k \in \mathbb{N}\}$ can be finite dimensional.

Thus $N := (TS^{\dagger*})_0$ is a bounded symmetric operator on $\mathcal{N}_0 \cong \mathbb{C}^n$, having the numbers $0, 1, \ldots, n$ as eigenvalues.

Hence *N* is positive and thus \exists an operator $C \in \mathcal{B}(\mathcal{N})$ such that $N = C^{\dagger}C$. None of the possible solutions of this operator equation can, however, satisfy the commutation relation $[C, C^{\dagger}] = \mathbb{1}$, due to Wiener -Wielandt - von Neumann theorem.

The subspace \mathcal{N}_0 spanned by $\{T^k\xi_0, k \in \mathbb{N}\}$ can be finite dimensional.

Thus $N := (TS^{\dagger*})_0$ is a bounded symmetric operator on $\mathcal{N}_0 \cong \mathbb{C}^n$, having the numbers $0, 1, \ldots, n$ as eigenvalues.

Hence *N* is positive and thus \exists an operator $C \in \mathcal{B}(\mathcal{N})$ such that $N = C^{\dagger}C$. None of the possible solutions of this operator equation can, however, satisfy the commutation relation $[C, C^{\dagger}] = \mathbb{1}$, due to Wiener -Wielandt - von Neumann theorem.

If \mathcal{N}_0 is infinite dimensional then N may fail to be symmetric, as the last example shows.

$$\begin{split} [S, T] &= 1\!\!1_{\mathcal{D}} \text{ (weak sense)} \Rightarrow [T^{\dagger}, S^{\dagger}] = 1\!\!1_{\mathcal{D}} \text{ (weak sense)}.\\ \text{Assume } \exists \text{ also } 0 \neq \eta_0 \in \mathcal{D} \text{ s. t. } T^{\dagger}\eta_0 = 0\\ S^{\dagger}\eta_0, \ (S^{\dagger})^2\eta_0, \dots \ (S^{\dagger})^{m-1}\eta_0 \text{ all belong to } \mathcal{D}. \end{split}$$

$$\begin{split} [S, T] &= \mathbb{1}_{\mathcal{D}} \text{ (weak sense)} \Rightarrow [T^{\dagger}, S^{\dagger}] = \mathbb{1}_{\mathcal{D}} \text{ (weak sense).} \\ \text{Assume } \exists \text{ also } 0 \neq \eta_0 \in \mathcal{D} \text{ s. t. } T^{\dagger}\eta_0 = 0 \\ S^{\dagger}\eta_0, (S^{\dagger})^2\eta_0, \dots (S^{\dagger})^{m-1}\eta_0 \text{ all belong to } \mathcal{D}. \text{ Consider} \\ M &:= S^{\dagger}T^*, \qquad M^{\sharp} := T^*S^{\dagger}. \end{split}$$

M is a number operator on some subspace \mathcal{M}_0

$$\begin{split} [S,T] &= \mathbb{1}_{\mathcal{D}} \text{ (weak sense)} \Rightarrow [T^{\dagger},S^{\dagger}] = \mathbb{1}_{\mathcal{D}} \text{ (weak sense)} \\ \text{Assume } \exists \text{ also } 0 \neq \eta_0 \in \mathcal{D} \text{ s. t. } T^{\dagger}\eta_0 = 0 \\ S^{\dagger}\eta_0, (S^{\dagger})^2\eta_0, \dots (S^{\dagger})^{m-1}\eta_0 \text{ all belong to } \mathcal{D}. \text{ Consider} \end{split}$$

$$M := S^{\dagger} T^*, \qquad M^{\sharp} := T^* S^{\dagger}.$$

 ${\it M}$ is a number operator on some subspace ${\cal M}_0$

 $m \in \mathbb{N} \cup \{\infty\} :=$ largest number satisfying assumptions

$$\begin{split} [S, T] &= \mathbb{1}_{\mathcal{D}} \text{ (weak sense)} \Rightarrow [T^{\dagger}, S^{\dagger}] = \mathbb{1}_{\mathcal{D}} \text{ (weak sense).} \\ \text{Assume } \exists \text{ also } 0 \neq \eta_0 \in \mathcal{D} \text{ s. t. } T^{\dagger} \eta_0 = 0 \\ S^{\dagger} \eta_0, (S^{\dagger})^2 \eta_0, \dots (S^{\dagger})^{m-1} \eta_0 \text{ all belong to } \mathcal{D}. \text{ Consider} \end{split}$$

$$M := S^{\dagger} T^*, \qquad M^{\sharp} := T^* S^{\dagger}.$$

M is a number operator on some subspace \mathcal{M}_0 $m \in \mathbb{N} \cup \{\infty\} :=$ largest number satisfying assumptions $\sigma_p(M) = \{0, 1, \dots, m\}$

$$[S, T] = \mathbb{1}_{\mathcal{D}}$$
 (weak sense) $\Rightarrow [T^{\dagger}, S^{\dagger}] = \mathbb{1}_{\mathcal{D}}$ (weak sense)
Assume \exists also $0 \neq \eta_0 \in \mathcal{D}$ s. t. $T^{\dagger}\eta_0 = 0$
 $S^{\dagger}\eta_0, (S^{\dagger})^2\eta_0, \dots (S^{\dagger})^{m-1}\eta_0$ all belong to \mathcal{D} . Consider

$$M := S^{\dagger} T^*, \qquad M^{\sharp} := T^* S^{\dagger}.$$

M is a number operator on some subspace \mathcal{M}_0 $m \in \mathbb{N} \cup \{\infty\} := \text{largest number satisfying assumptions}$ $\sigma_p(M) = \{0, 1, \dots, m\}$

Any relation between *n* and *m*? No, in general. Indeed, the operators *S*, *T* considered in the second case of the Example one finds $n = \infty$ and m = 0. Assume $n = m = \infty$. $\xi_k := \frac{1}{\sqrt{k!}} T^k \xi_0, \ k = 1, \dots, n \quad \eta_r := \frac{1}{\sqrt{r!}} (S^{\dagger})^r \eta_0, \ r = 1, \dots, m.$ Choose normalization of ξ_0 and η_0 s.t. $\langle \xi_0 | \eta_0 \rangle = 1$.

 $\mathcal{F}_{\xi} := \{\xi_k\} \text{ and } \mathcal{F}_{\eta} := \{\eta_r\} \text{ are biorthogonal: } \langle \xi_i | \eta_j \rangle = \delta_{i,j}, \quad \forall i,j \in \mathbb{N}.$

Assume $n = m = \infty$. $\xi_k := \frac{1}{\sqrt{k!}} T^k \xi_0, \ k = 1, \dots, n \quad \eta_r := \frac{1}{\sqrt{r!}} (S^{\dagger})^r \eta_0, \ r = 1, \dots, m.$ Choose normalization of ξ_0 and η_0 s.t. $\langle \xi_0 | \eta_0 \rangle = 1$. $\mathcal{F}_{\xi} := \{\xi_k\}$ and $\mathcal{F}_{\eta} := \{\eta_r\}$ are biorthogonal: $\langle \xi_i | \eta_j \rangle = \delta_{i,j}, \quad \forall i, j \in \mathbb{N}.$

Define intertwining operators: $K_{\xi}(\eta_j) = \xi_j, j \in \mathbb{N}$ $K_{\eta}(\xi_j) = \eta_j, j \in \mathbb{N}$. $K_{\eta} = K_{\xi}^{-1}$, both unbounded in general; they obey intertwining relations:

 $K_{\eta} N\phi = M K_{\eta} \phi, \ \forall \phi \in \mathcal{M}_{0};$

$$K_{\xi} M \psi = N K_{\xi} \psi, \ \forall \psi \in \mathcal{N}_{0}.$$

Assume $n = m = \infty$. $\xi_k := \frac{1}{\sqrt{k!}} T^k \xi_0, \ k = 1, \dots, n \quad \eta_r := \frac{1}{\sqrt{r!}} (S^{\dagger})^r \eta_0, \ r = 1, \dots, m.$ Choose normalization of ξ_0 and η_0 s.t. $\langle \xi_0 | \eta_0 \rangle = 1$. $\mathcal{F}_{\xi} := \{\xi_k\}$ and $\mathcal{F}_{\eta} := \{\eta_r\}$ are biorthogonal: $\langle \xi_i | \eta_j \rangle = \delta_{i,j}, \quad \forall i, j \in \mathbb{N}.$

Define intertwining operators: $K_{\xi}(\eta_j) = \xi_j, j \in \mathbb{N}$ $K_{\eta}(\xi_j) = \eta_j, j \in \mathbb{N}$. $K_{\eta} = K_{\xi}^{-1}$, both unbounded in general; they obey intertwining relations:

$$K_{\eta} N \phi = M K_{\eta} \phi, \ \forall \phi \in \mathcal{M}_{0};$$

$$\mathsf{K}_{\xi} \mathsf{M} \psi = \mathsf{N} \mathsf{K}_{\xi} \psi, \ \forall \psi \in \mathcal{N}_{0}.$$

If $\mathcal{N}_0 = \mathcal{M}_0 = \mathcal{H}$ and K_{ξ} , K_{η} bounded, then \mathcal{F}_{ξ} and \mathcal{F}_{η} are Riesz bases of \mathcal{H} : $\exists c, C > 0$ such that

$$c\sum_{j}|a_{j}|^{2}\leqslant\left\|\sum_{j}a_{j}\xi_{j}\right\|^{2}\leqslant C\sum_{j}|a_{j}|^{2},\ \forall\{a_{n}\}\in\ell^{2}$$

and they span \mathcal{H} .

Assume $n = m = \infty$. $\xi_k := \frac{1}{\sqrt{k!}} T^k \xi_0, \ k = 1, \dots, n \quad \eta_r := \frac{1}{\sqrt{r!}} (S^{\dagger})^r \eta_0, \ r = 1, \dots, m.$ Choose normalization of ξ_0 and η_0 s.t. $\langle \xi_0 | \eta_0 \rangle = 1$. $\mathcal{F}_{\xi} := \{\xi_k\}$ and $\mathcal{F}_{\eta} := \{\eta_r\}$ are biorthogonal: $\langle \xi_i | \eta_j \rangle = \delta_{i,j}, \quad \forall i, j \in \mathbb{N}.$

Define intertwining operators: $K_{\xi}(\eta_j) = \xi_j, j \in \mathbb{N}$ $K_{\eta}(\xi_j) = \eta_j, j \in \mathbb{N}$. $K_{\eta} = K_{\xi}^{-1}$, both unbounded in general; they obey intertwining relations:

$$K_{\eta} N \phi = M K_{\eta} \phi, \ \forall \phi \in \mathcal{M}_{0};$$

$$\mathsf{K}_{\xi} \mathsf{M} \psi = \mathsf{N} \mathsf{K}_{\xi} \psi, \ \forall \psi \in \mathcal{N}_{0}.$$

If $\mathcal{N}_0 = \mathcal{M}_0 = \mathcal{H}$ and \mathcal{K}_{ξ} , \mathcal{K}_{η} bounded, then \mathcal{F}_{ξ} and \mathcal{F}_{η} are Riesz bases of \mathcal{H} : $\exists c, C > 0$ such that

$$c\sum_{j}|a_{j}|^{2}\leqslant\left\|\sum_{j}a_{j}\xi_{j}\right\|^{2}\leqslant C\sum_{j}|a_{j}|^{2},\ \forall\{a_{n}\}\in\ell^{2}$$

and they span \mathcal{H} .

An orthonormal basis $\mathcal{E} = \{e_j\}$ can be defined by, for instance, $e_j = K_\eta^{1/2} \xi_j$.

Assume $[S, T] = \mathbb{1}_{\mathcal{D}}$ in quasi-strong sense; i.e.

 $\langle V_{\mathcal{S}}(\alpha)T\xi|\eta
angle - \langle V_{\mathcal{S}}(\alpha)\xi|T^{\dagger}\eta
angle = lpha\langle V_{\mathcal{S}}(\alpha)\xi|\eta
angle, \quad \forall \xi,\eta\in\mathcal{D}; lpha\geqslant 0.$

Apply the Cauchy-Schwarz inequality $(\xi = \eta) \Rightarrow \forall z \in \mathbb{C}, \alpha \ge 0$,

 $\alpha|\langle V_{\mathcal{S}}(\alpha)\xi|\xi\rangle| \leq 2\max\{\|(\mathcal{T}-z)\xi\|, \|(\mathcal{T}^{\dagger}-\overline{z})\xi\|\}\max\{\|V_{\mathcal{S}}(\alpha)\xi\|, \|V_{\mathcal{S}}(\alpha)^{*}\xi\|\}.$ (1)

Assume $[S, T] = \mathbb{1}_{\mathcal{D}}$ in quasi-strong sense; i.e.

 $\langle V_{\mathcal{S}}(\alpha)T\xi|\eta
angle - \langle V_{\mathcal{S}}(\alpha)\xi|T^{\dagger}\eta
angle = lpha\langle V_{\mathcal{S}}(\alpha)\xi|\eta
angle, \quad \forall \xi,\eta\in\mathcal{D}; lpha\geqslant 0.$

Apply the Cauchy-Schwarz inequality $(\xi = \eta) \Rightarrow \forall z \in \mathbb{C}, \alpha \ge 0$,

 $\alpha |\langle V_{\mathcal{S}}(\alpha)\xi|\xi\rangle| \leq 2 \max\{\|(T-z)\xi\|, \|(T^{\dagger}-\overline{z})\xi\|\} \max\{\|V_{\mathcal{S}}(\alpha)\xi\|, \|V_{\mathcal{S}}(\alpha)^{*}\xi\|\}.$ (1) Consequences:

Assume $[S, T] = \mathbb{1}_{\mathcal{D}}$ in quasi-strong sense; i.e.

 $\langle V_{\mathcal{S}}(\alpha) T \xi | \eta \rangle - \langle V_{\mathcal{S}}(\alpha) \xi | T^{\dagger} \eta \rangle = \alpha \langle V_{\mathcal{S}}(\alpha) \xi | \eta \rangle, \quad \forall \xi, \eta \in \mathcal{D}; \alpha \geqslant 0.$

Apply the Cauchy-Schwarz inequality $(\xi = \eta) \Rightarrow \forall z \in \mathbb{C}, \alpha \ge 0$,

 $\alpha |\langle V_{\mathcal{S}}(\alpha)\xi|\xi\rangle| \leq 2\max\{\|(\mathcal{T}-z)\xi\|, \|(\mathcal{T}^{\dagger}-\overline{z})\xi\|\}\max\{\|V_{\mathcal{S}}(\alpha)\xi\|, \|V_{\mathcal{S}}(\alpha)^{*}\xi\|\}.$ (1)

Consequences:

• if $T = T^{\dagger} \Rightarrow \sigma_{\rho}(T) = \emptyset$.

Assume $[S, T] = \mathbb{1}_{\mathcal{D}}$ in quasi-strong sense; i.e.

 $\langle V_{\mathcal{S}}(\alpha)T\xi|\eta
angle - \langle V_{\mathcal{S}}(\alpha)\xi|T^{\dagger}\eta
angle = \alpha\langle V_{\mathcal{S}}(\alpha)\xi|\eta
angle, \quad \forall \xi,\eta\in\mathcal{D}; \alpha\geqslant 0.$

Apply the Cauchy-Schwarz inequality $(\xi = \eta) \Rightarrow \forall z \in \mathbb{C}, \alpha \ge 0$,

 $\alpha |\langle V_{\mathcal{S}}(\alpha)\xi|\xi\rangle| \leq 2 \max\{\|(\mathcal{T}-z)\xi\|, \|(\mathcal{T}^{\dagger}-\overline{z})\xi\|\} \max\{\|V_{\mathcal{S}}(\alpha)\xi\|, \|V_{\mathcal{S}}(\alpha)^{*}\xi\|\}.$ (1)

Consequences:

- if $T = T^{\dagger} \Rightarrow \sigma_{p}(T) = \emptyset$.
- If V_S uniformly bounded, i.e. $||V_S(\alpha)|| \leq M$, $\forall \alpha \geq 0$, (e.g. V_S isometries or contractions), by (1) $\lim_{\alpha \to \infty} |\langle V_S(\alpha)\xi|\xi\rangle| = 0$, $\forall \xi \in \mathcal{H}$.

Assume $[S, T] = \mathbb{1}_{\mathcal{D}}$ in quasi-strong sense; i.e.

 $\langle V_{\mathcal{S}}(\alpha)T\xi|\eta
angle - \langle V_{\mathcal{S}}(\alpha)\xi|T^{\dagger}\eta
angle = \alpha\langle V_{\mathcal{S}}(\alpha)\xi|\eta
angle, \quad \forall \xi,\eta\in\mathcal{D}; \alpha\geqslant 0.$

Apply the Cauchy-Schwarz inequality $(\xi = \eta) \Rightarrow \forall z \in \mathbb{C}, \alpha \ge 0$,

 $\alpha |\langle V_{\mathcal{S}}(\alpha)\xi|\xi\rangle| \leq 2 \max\{\|(T-z)\xi\|, \|(T^{\dagger}-\overline{z})\xi\|\} \max\{\|V_{\mathcal{S}}(\alpha)\xi\|, \|V_{\mathcal{S}}(\alpha)^{*}\xi\|\}.$ (1)

Consequences:

- if $T = T^{\dagger} \Rightarrow \sigma_{\rho}(T) = \emptyset$.
- If V_S uniformly bounded, i.e. $||V_S(\alpha)|| \leq M$, $\forall \alpha \geq 0$, (e.g. V_S isometries or contractions), by (1) $\lim_{\alpha \to \infty} |\langle V_S(\alpha)\xi|\xi\rangle| = 0$, $\forall \xi \in \mathcal{H}$.
- V_S semigroup of contractions (i.e., ||V_S(α)|| ≤ 1), ∀α ≥ 0 ⇒ every eigenvalue of S has negative real part.

Assume $[S, T] = \mathbb{1}_{\mathcal{D}}$ in quasi-strong sense; i.e.

 $\langle V_{\mathcal{S}}(\alpha) T \xi | \eta \rangle - \langle V_{\mathcal{S}}(\alpha) \xi | T^{\dagger} \eta \rangle = \alpha \langle V_{\mathcal{S}}(\alpha) \xi | \eta \rangle, \quad \forall \xi, \eta \in \mathcal{D}; \alpha \ge 0.$

Apply the Cauchy-Schwarz inequality $(\xi = \eta) \Rightarrow \forall z \in \mathbb{C}, \alpha \ge 0$,

 $\alpha |\langle V_{\mathcal{S}}(\alpha)\xi|\xi\rangle| \leq 2\max\{\|(T-z)\xi\|, \|(T^{\dagger}-\overline{z})\xi\|\}\max\{\|V_{\mathcal{S}}(\alpha)\xi\|, \|V_{\mathcal{S}}(\alpha)^{*}\xi\|\}.$ (1)

Consequences:

- if $T = T^{\dagger} \Rightarrow \sigma_{p}(T) = \emptyset$.
- If V_S uniformly bounded, i.e. $||V_S(\alpha)|| \leq M$, $\forall \alpha \geq 0$, (e.g. V_S isometries or contractions), by (1) $\lim_{\alpha \to \infty} |\langle V_S(\alpha)\xi|\xi\rangle| = 0$, $\forall \xi \in \mathcal{H}$.
- V_S semigroup of contractions (i.e., ||V_S(α)|| ≤ 1), ∀α ≥ 0 ⇒ every eigenvalue of S has negative real part.

Corollary (Miyamoto's result) If the generator X of V_S has the form X = iH where H is a self-adjoint operator, then $\sigma_p(H) = \emptyset$.

Time operators

< □ ▶ < □ ▶ < 直 ▶ < 直 ▶ < 直 ▶ Ξ ● ○ Q (~ 21/25 Schmüdgen studied pairs of operators (T, H)

T symmetric, H self-adjoint s.t.

- $e^{-itH}D(T) \subseteq D(T);$
- $Te^{-itH}\xi = e^{-itH}(T+t)\xi$

T, H regarded as members of $\mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H})$ with $\mathcal{D} = D(T) \cap D(S)$. This is equivalent to the operators T, S := iH satisfy $[S, T] = \mathbb{1}$ in quasi-strong sense

Definition

T is time operator for H if (T, H) satisfies the two conditions above.

Schmüdgen studied pairs of operators (T, H)

T symmetric, H self-adjoint s.t.

•
$$e^{-itH}D(T) \subseteq D(T);$$

•
$$Te^{-itH}\xi = e^{-itH}(T+t)\xi$$

T, H regarded as members of $\mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H})$ with $\mathcal{D} = D(T) \cap D(S)$. This is equivalent to the operators T, S := iH satisfy $[S, T] = \mathbb{1}$ in quasi-strong sense

Definition

T is time operator for H if (T, H) satisfies the two conditions above.

In many examples, mostly taken from Physics, H is a semibounded operator (H the Hamiltionian of some physical system).
(Arai) $H = H^*$, H semibounded. Then no time operator T of H can be essentially self-adjoint.

Indeed, the spectrum $\sigma(T)$ is one of the following sets

(Arai) $H = H^*$, H semibounded. Then no time operator T of H can be essentially self-adjoint.

22 / 25

Indeed, the spectrum $\sigma(T)$ is one of the following sets

• \mathbb{C} , if H is bounded

(Arai) $H = H^*$, H semibounded. Then no time operator T of H can be essentially self-adjoint.

<ロ> (四) (四) (三) (三) (三) (三)

22 / 25

Indeed, the spectrum $\sigma(T)$ is one of the following sets

- \mathbb{C} , if *H* is bounded
- \mathbb{C} or $\overline{\Pi_+} = \{z \in \mathbb{C} : \Im z \ge 0\}$ if *H* is bounded below

(Arai) $H = H^*$, H semibounded. Then no time operator T of H can be essentially self-adjoint.

22 / 25

Indeed, the spectrum $\sigma(T)$ is one of the following sets

- \mathbb{C} , if *H* is bounded
- \mathbb{C} or $\overline{\Pi_+} = \{z \in \mathbb{C} : \Im z \ge 0\}$ if H is bounded below
- \mathbb{C} or $\overline{\Pi_-} = \{z \in \mathbb{C} : \Im z \leq 0\}$ if *H* is bounded above.

(Arai) $H = H^*$, H semibounded. Then no time operator T of H can be essentially self-adjoint.

Indeed, the spectrum $\sigma(T)$ is one of the following sets

- \mathbb{C} , if *H* is bounded
- \mathbb{C} or $\overline{\Pi_+} = \{z \in \mathbb{C} : \Im z \ge 0\}$ if *H* is bounded below
- \mathbb{C} or $\overline{\Pi_-} = \{z \in \mathbb{C} : \Im z \leq 0\}$ if *H* is bounded above.

Question: Which one is realized, depending on properties of H?

22 / 25

Examples

I interval of the real line. Denote by *q* the multiplication operator on $L^2(I)$ by the variable $x \in I$ *q* is selfadjoint.

I interval of the real line. Denote by *q* the multiplication operator on $L^2(I)$ by the variable $x \in I$ *q* is selfadjoint.

Let p be the operator on $L^2(I)$ defined as follows: $D(p) := C_c^{\infty}(I)$ $(pg)(x) := -ig'(x), \quad g \in D(p)$ *I* interval of the real line. Denote by *q* the multiplication operator on $L^2(I)$ by the variable $x \in I$ *q* is selfadjoint.

Let p be the operator on $L^2(I)$ defined as follows: $D(p) := C_c^{\infty}(I)$ $(pg)(x) := -ig'(x), g \in D(p)$

CASE 1: $I = [0, \infty) q$ is positive; -p is time operator of q and $\sigma(-p) = \overline{\Pi_+}$.

I interval of the real line. Denote by *q* the multiplication operator on $L^2(I)$ by the variable $x \in I$ *q* is selfadjoint.

Let p be the operator on $L^2(I)$ defined as follows: $D(p) := C_c^{\infty}(I)$ $(pg)(x) := -ig'(x), g \in D(p)$

CASE 1: $I = [0, \infty) q$ is positive; -p is time operator of q and $\sigma(-p) = \overline{\Pi_+}$.

CASE 2: I = (-L/2, L/2), L > 0 *q* is a bounded self-adjoint operator. *-p* is a time operator of *q* and $\sigma(-p) = \mathbb{C}$.

The assumption $e^{-itH}D(T) \subseteq D(T)$ is quite strong. Try to relax it! (Bagarello, Inoue, CT, 2012)

Definition

 $\{S, T\} \subset \mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H}); S = S^{\dagger}; T = T^{\dagger}$ $\{S, T\}$ satisfy weak Weyl commutation relation if \exists H self-adjoint extension of S such that

The assumption $e^{-itH}D(T) \subseteq D(T)$ is quite strong. Try to relax it! (Bagarello, Inoue, CT, 2012)

Definition

 $\{S, T\} \subset \mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H}); S = S^{\dagger}; T = T^{\dagger}$ $\{S, T\}$ satisfy weak Weyl commutation relation if \exists H self-adjoint extension of S such that

24 / 25

•
$$D(\overline{T}) \subset D(H)$$

The assumption $e^{-itH}D(T) \subseteq D(T)$ is quite strong. Try to relax it! (Bagarello, Inoue, CT, 2012)

Definition

 $\{S, T\} \subset \mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H}); S = S^{\dagger}; T = T^{\dagger}$ $\{S, T\}$ satisfy weak Weyl commutation relation if \exists H self-adjoint extension of S such that

•
$$D(\overline{T}) \subset D(H)$$

•
$$\langle e^{-itH}\xi|T\eta\rangle = \langle (T+t)\xi|e^{itH}\eta\rangle, \quad \forall \xi,\eta\in\mathcal{D}, \forall t\in\mathbb{R}.$$

H := weak Weyl extension of S.

The assumption $e^{-itH}D(T) \subseteq D(T)$ is quite strong. Try to relax it! (Bagarello, Inoue, CT, 2012)

Definition

 $\{S, T\} \subset \mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H}); S = S^{\dagger}; T = T^{\dagger}$ $\{S, T\}$ satisfy weak Weyl commutation relation if \exists H self-adjoint

extension of S such that

•
$$D(\overline{T}) \subset D(H)$$

•
$$\langle e^{-itH}\xi|T\eta\rangle = \langle (T+t)\xi|e^{itH}\eta\rangle, \quad \forall \xi,\eta\in\mathcal{D}, \forall t\in\mathbb{R}.$$

H := weak Weyl extension of S. Results:

The assumption $e^{-itH}D(T) \subseteq D(T)$ is quite strong. Try to relax it! (Bagarello, Inoue, CT, 2012)

Definition

 $\{S, T\} \subset \mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H}); \ S = S^{\dagger}; T = T^{\dagger}$

 $\{S,T\}$ satisfy weak Weyl commutation relation if \exists H self-adjoint extension of S such that

•
$$D(\overline{T}) \subset D(H)$$

•
$$\langle e^{-itH}\xi|T\eta\rangle = \langle (T+t)\xi|e^{itH}\eta\rangle, \quad \forall \xi,\eta\in\mathcal{D}, \forall t\in\mathbb{R}.$$

H := weak Weyl extension of S. Results:

• Suppose *T* essentially self-adj. Then $\{H, \overline{T}\}$ satisfy the Weyl commutation relations

$$e^{itH}e^{-is\overline{T}}=e^{ist}e^{-is\overline{T}}e^{itH},\quad orall s,t\in\mathbb{R}$$

・ロ ・ < 部 ・ < 注 ・ < 注 ・ 注 の Q (や 24/25

The assumption $e^{-itH}D(T) \subseteq D(T)$ is quite strong. Try to relax it! (Bagarello, Inoue, CT, 2012)

Definition

 $\{S, T\} \subset \mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H}); S = S^{\dagger}; T = T^{\dagger}$

 $\{S,T\}$ satisfy weak Weyl commutation relation if \exists H self-adjoint extension of S such that

•
$$D(\overline{T}) \subset D(H)$$

•
$$\langle e^{-itH}\xi|T\eta\rangle = \langle (T+t)\xi|e^{itH}\eta\rangle, \quad \forall \xi, \eta \in \mathcal{D}, \forall t \in \mathbb{R}.$$

H := weak Weyl extension of S. Results:

• Suppose *T* essentially self-adj. Then $\{H, \overline{T}\}$ satisfy the Weyl commutation relations

$$e^{itH}e^{-is\overline{T}}=e^{ist}e^{-is\overline{T}}e^{itH},\quad orall s,t\in\mathbb{R}$$

• If H is semibounded then T is not essentially selfadj.

The assumption $e^{-itH}D(T) \subseteq D(T)$ is quite strong. Try to relax it! (Bagarello, Inoue, CT, 2012)

Definition

 $\{S, T\} \subset \mathcal{L}^{\dagger}(\mathcal{D}, \mathcal{H}); S = S^{\dagger}; T = T^{\dagger}$ $\{S, T\}$ satisfy weak Weyl commutation relation

 $\{S,T\}$ satisfy weak Weyl commutation relation if \exists H self-adjoint extension of S such that

•
$$D(\overline{T}) \subset D(H)$$

•
$$\langle e^{-itH}\xi|T\eta\rangle = \langle (T+t)\xi|e^{itH}\eta\rangle, \quad \forall \xi, \eta \in \mathcal{D}, \forall t \in \mathbb{R}.$$

H := weak Weyl extension of S. Results:

• Suppose *T* essentially self-adj. Then $\{H, \overline{T}\}$ satisfy the Weyl commutation relations

$$e^{itH}e^{-is\overline{T}}=e^{ist}e^{-is\overline{T}}e^{itH}, \hspace{1em} orall s,t\in \mathbb{R}$$

- If H is semibounded then T is not essentially selfadj.
- *H* semibounded, $\mathcal{D}^{\infty}(T^*) \subset D(\overline{T})$. Then $\sigma(T) = \mathbb{C}$.

Nonlinear extension

Generalization of condition (CR2) $\{\varphi_n\}, \{\psi_n\}$ two biorthogonal bases contained in \mathcal{D} and

$$X = \sum_{k=0}^{\infty} \alpha_k (\psi_k \otimes \overline{\varphi_k}),$$

 $\{\alpha_n\}$ a sequence of positive real numbers. Assume $\mathcal{D} \subset D(X)$. Generalization of condition (CR2) $\{\varphi_n\}, \{\psi_n\}$ two biorthogonal bases contained in \mathcal{D} and

$$X = \sum_{k=0}^{\infty} lpha_k (\psi_k \otimes \overline{\varphi_k}),$$

 $\{\alpha_n\}$ a sequence of positive real numbers. Assume $\mathcal{D} \subset D(X)$.

S and T satisfy the nonlinear CR.2 if, $\forall \xi, \eta \in \mathcal{D}$,

$$\langle T\xi|S^{\dagger}\eta\rangle - \langle S\xi|T^{\dagger}\eta\rangle = \langle \xi|X\eta\rangle,$$
(2)

S and T^{\dagger} act as raising operators on bases vectors; S^{\dagger} and T as lowering operators, but squares of eigenvalues do not depend linearly on nAn analysis similar to the case $X = \mathbb{1}_{\mathcal{D}}$ can be done; some results extend (with more constraints) to this *nonlinear* situation.